

UC Mixnets

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1 Introduction

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2.1 A game-based secure mixnet

2.1.1 Mixnet definition

Definition 1 (Mixnet protocol). A mixnet protocol Π_{MN} is a tuple of algorithms

$$\Pi_{\text{MN}} = (\text{Setup}, \text{Prepare}, \text{Mix}, \text{Verify}, \text{Recover}, \text{Decrypt})$$

where

- **Setup** takes as input a security parameter $\eta \in \mathbb{N}^*$ and two natural numbers $n, p \in \mathbb{N}^*$. Informally, n is the number of senders $(S_i)_{i=1}^n$ while p is the number of mix-servers $(M_j)_{j=1}^p$. Then, **Setup** outputs a public parameter σ_{pub} of the mixnet protocol and p private parameters $(\sigma_{\text{priv}}^{(j)})_{j=1}^p$ for each mix-server ;
- **Prepare** takes as input an identifier $\text{id} \in \llbracket 1; n \rrbracket$ of a sender S_{id} , the public parameter σ_{pub} , with $pk \in \sigma_{\text{pub}}$, and a message $m \in \mathcal{M}$. Then, **Prepare** generates a random value $s \xleftarrow{\$} \mathcal{R}_{\text{CS}}$ uniformly at random. Finally, **Prepare** outputs an encrypted packet $\mathbf{p} \stackrel{\text{def}}{=} (c, \text{tr})$ with $c \in \mathcal{C}_{\text{CS}}$ a ciphertext and tr a proof transcript such that

$$c \stackrel{\text{def}}{=} \text{Enc}_{\text{CS}}(pk, m; s) \quad \text{and} \quad \text{tr} \models_{\mathbb{ZK}} (\sigma_{\text{pub}}, c, (m, s)) \in \mathcal{R}_{\text{CS}}^{\text{crypt}};$$

- **Mix** takes as input a private parameter $\sigma_{\text{priv}}^{(\text{id})}$ for mix-server M_{id} , the public parameter σ_{pub} , with $pk \in \sigma_{\text{pub}}$ the public key and $ck \in \sigma_{\text{pub}}$ a commitment key parameter, and a list of ciphertexts $\mathbf{c} = (c_i)_{i=1}^n \in \mathcal{C}_{\text{CS}}^n$. Then, **Mix** generates a permutation $\pi \xleftarrow{\$} \mathfrak{S}_n$ and two vectors of random values $\mathbf{r} = (r_i)_{i=1}^n \xleftarrow{\$} \mathcal{R}_{\text{CS}}^n$ and $\mathbf{s} = (s_i)_{i=1}^p \xleftarrow{\$} \mathcal{R}_{\mathbb{KS}[\mathfrak{S}_n]}$ uniformly at random. Finally, **Mix** outputs

(i) a new list of ciphertexts $\mathbf{c}' = (c'_i)_{i=1}^n \in \mathcal{C}_{\text{CS}}^n$ such that

$$\forall i \in \llbracket 1; n \rrbracket, c'_i \stackrel{\text{def}}{=} \phi_{\text{CS}}(pk, \sigma_{\text{priv}}^{(\text{id})}, c_{\pi(i)}; r_i);$$

(ii) a commitment to the permutation $\pi : \mathbf{a} \stackrel{\text{def}}{=} \text{Com}_{\mathfrak{S}_n}(ck, \pi; \mathbf{s}) \in \mathcal{K}_{\mathfrak{S}_n}$;

(iii) and a proof transcript tr such that

$$\text{tr} \models_{\mathbb{ZK}} \left\{ \begin{array}{l} \left((\sigma_{\text{pub}} \setminus \{pk\}), pk, \sigma_{\text{priv}}^{(\text{id})} \right) \in \mathcal{R}_{\text{CS}}^{\text{skey}} \\ \wedge \left(\sigma_{\text{pub}}, (\mathbf{a}, \mathbf{c}, \mathbf{c}'), (\pi, \mathbf{s}, \sigma_{\text{priv}}^{(\text{id})}, \mathbf{r}) \right) \in \mathcal{R}_{\text{CS}, \mathfrak{S}_n}^{\text{shuffle}} \end{array} \right. . \quad (\Phi)$$

- **Verify** takes as input the public parameter σ_{pub} and two paquet sets $\mathfrak{P}_{\text{id}}$ and $\mathfrak{P}_{\text{id}'}$ where $\text{id}, \text{id}' \in \llbracket 1; n \rrbracket$. Informally, we suppose that the paquet set $\mathfrak{P}_{\text{id}}$ is already checked as valid and we want to verify that the other paquet set $\mathfrak{P}_{\text{id}'}$ is also valid. Then, **Verify** works as follows:

- (1) firstly, it extract from paquet set $\mathfrak{P}_{\text{id}'}$ a list of ciphertexts $\mathbf{c}' \in \mathcal{C}_{\text{CS}}^n$, a commitment value $\mathbf{a} \in \mathcal{K}_{\mathfrak{S}_n}$, and a proof transcript tr' ;
- (2) secondly, it extract from paquet set $\mathfrak{P}_{\text{id}}$ a list of ciphertexts $\mathbf{c} \in \mathcal{C}_{\text{CS}}^n$;
- (3) and finally, **Verify** outputs 1 if and only if the following property holds

$$\text{tr}' \models_{\mathbb{ZK}} \left(pk \in \mathcal{L}_{\sigma_{\text{pub}} \setminus \{pk\}}(\mathcal{R}_{\text{CS}}^{\text{key}}) \right) \wedge \left((\mathbf{a}, \mathbf{c}, \mathbf{c}') \in \mathcal{L}_{\sigma_{\text{pub}}}(\mathcal{R}_{\text{CS}, \mathfrak{S}_n}^{\text{shuffle}}) \right) \quad (\Theta)$$

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2.1.2 Common oracles

$$\begin{array}{l}
\frac{\mathcal{O}\text{DishonestSend}(\text{id}, \mathbf{p}) \text{ ---}}{\text{if } (\text{id} \notin \mathcal{I}_S) \text{ then} \\ \mathfrak{P}_0 \leftarrow \mathfrak{P}_0 \parallel \mathbf{p} ; \\ \mathcal{I}_S \leftarrow \mathcal{I}_S \cup \{\text{id}\}.}
\end{array}
\quad
\frac{\mathcal{O}\text{HonestMix}(\text{id}) \text{ ---}}{(\mathbf{c}, \vec{\text{tr}}) \leftarrow \text{Recover} \left(\sigma_{\text{pub}}, (\sigma_{\text{priv}}^{(j)})_{j \in \mathcal{I}_M}, (\mathfrak{P}_j)_{j \in \mathcal{I}_M} \right) ; \\ (\mathbf{c}', \vec{\text{tr}}') \leftarrow \text{Mix} \left(\sigma_{\text{priv}}^{(\text{id})}, \sigma_{\text{pub}}, \mathbf{c} \right) ; \\ \mathcal{I}_M \leftarrow \mathcal{I}_M \cup \{\text{id}\} ; \\ \mathfrak{P}_{\text{id}} \leftarrow \text{CreateBB} \left(\mathbf{c}', \vec{\text{tr}} \odot \vec{\text{tr}}' \right).}
\quad
\frac{\mathcal{O}\text{DishonestMix}(\text{id}, \mathbf{c}, \vec{\text{tr}}) \text{ ---}}{\mathcal{I}_M \leftarrow \mathcal{I}_M \cup \{\text{id}\} ; \\ \mathfrak{P}_{\text{id}} \leftarrow \text{CreateBB} \left(\mathbf{c}, \vec{\text{tr}} \right).}$$

$$\frac{\mathcal{O}\text{Open}() \text{ ---}}{\text{if } (M_0 \stackrel{\pm}{=} M_1) \text{ then} \\ (\mathbf{c}, _) \leftarrow \text{Recover} \left((\sigma_{\text{priv}}^{(j)})_{j=1}^p, (\mathfrak{P}_i)_{i=0}^p \right) ; \\ \mathbf{m}' \leftarrow \text{Decrypt} \left((\sigma_{\text{priv}}^{(j)})_{j=1}^p, \mathbf{c} \right) ; \\ \text{return } \mathbf{m}' ; \\ \text{else return } \perp.}
\quad
\frac{\mathcal{O}\text{PublishBB}(\text{id}) \text{ ---}}{\text{return } \mathfrak{P}_{\text{id}}.}$$

2.1.3 Mixnet privacy

$$\frac{\mathcal{O}\text{HonestSend}^{(\beta)}(\text{id}, m_0, m_1) \text{ ---}}{\text{if } (\text{id} \notin \mathcal{I}_S) \text{ then} \\ M_0 \leftarrow m_0 \uplus M_0 ; M_1 \leftarrow m_1 \uplus M_1 ; \\ \mathbf{p}_\beta \leftarrow \text{Prepare}(\text{id}, \sigma_{\text{pub}}, m_\beta) ; \\ \mathfrak{P}_0 \leftarrow \mathfrak{P}_0 \parallel \mathbf{p}_\beta ; \\ \mathcal{I}_S \leftarrow \mathcal{I}_S \cup \{\text{id}\}.}$$

2.1.4 Mixnet integrity

$$\frac{\mathcal{O}\text{HonestSend}(\text{id}, m) \text{ ---}}{\text{if } (\text{id} \notin \mathcal{I}_P) \text{ then} \\ M \leftarrow m \uplus M ; \\ \mathbf{p} \leftarrow \text{Prepare}(\text{id}, \sigma_{\text{pub}}, m) ; \\ \mathfrak{P}_0 \leftarrow \mathfrak{P}_0 \parallel \mathbf{p} ; \\ \mathcal{I}_P \leftarrow \mathcal{I}_P \cup \{\text{id}\}.}$$

Game 1: Cryptographic game $\text{Privacy}_{\Pi_{\text{MN}}}^{\mathcal{A}, \beta}(\eta)$ of privacy for a mixnet protocol Π_{MN}

Configuration phase

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 $M_0, M_1 \leftarrow \{\}$  ;
 $\mathcal{I}_S, \mathcal{I}_M \leftarrow \emptyset$  ;
 $(n, p, \mathcal{H}_S, \mathcal{H}_M) \leftarrow \mathcal{A}()$  ; //  $\mathcal{A}$  chooses  $n$  senders and  $p$  mix-servers
 $(\sigma_{\text{priv}}^{(1)}, \dots, \sigma_{\text{priv}}^{(p)}, \sigma_{\text{pub}}) \leftarrow \text{Setup}(\eta)$  ;
 $\mathfrak{P}_0 \leftarrow (\sigma_{\text{priv}}^{(j)})_{j \notin \mathcal{H}_M} \parallel \sigma_{\text{pub}} \parallel \text{nil}$  ;

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Sending phase

The adversary $\mathcal{A}$ has access to oracles $\mathcal{OHonestSend}$ and $\mathcal{ODishonestSend}$ as follows.

```

Do
   $\text{id} \leftarrow \mathcal{A}(\mathcal{I}_S)$  ; // Suppose the adversary  $\mathcal{A}$  wants to run the sender  $S_{\text{id}}$  for  $\text{id} \in [1; n] \setminus \mathcal{I}_S$ , then
  If  $\text{id} \in \mathcal{H}_S$  then // Case where  $S_{\text{id}}$  is an honest sender.
     $\mathcal{A}$  computes two messages  $m_0, m_1$  and calls the oracle  $\mathcal{OHonestSend}^{(\beta)}(\text{id}, m_0, m_1)$ .
  Otherwise, // Case where  $S_{\text{id}}$  is dishonest.
     $\mathcal{A}$  computes a paquet  $\mathfrak{p}$  and calls the oracle  $\mathcal{ODishonestSend}(\text{id}, \mathfrak{p})$ .

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While $\text{Card}(\mathcal{I}_S) < n$

At the end of the sending phase (*i.e.* when $\text{Card}(\mathcal{I}_S) = n$), the adversary $\mathcal{A}$ calls the oracle $\mathcal{OPublishBB}(0)$ and cannot call both oracles $\mathcal{OHonestSend}$ and $\mathcal{ODishonestSend}$ anymore.

Mixing phase

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if  $(\neg (M_0 \stackrel{\pm}{=} M_1))$  then return  $\perp$  ;

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In a similar way as in the sending phase, the adversary $\mathcal{A}$ has access to oracles $\mathcal{OHonestMix}$ and $\mathcal{ODishonestMix}$ whether $\text{id} \in \mathcal{H}_M$ or not. All oracles inputs are computed by the adversary $\mathcal{A}$.

Besides, after each call to mix oracles $\mathcal{OHonestMix}$ or $\mathcal{ODishonestMix}$ on identity id , $\mathcal{A}$ calls oracle $\mathcal{OPublishBB}(\text{id})$.

Conclusion

```

The adversary  $\mathcal{A}$  calls, only once, the oracle  $\mathcal{OOpen}()$  to obtain the decrypted messages list  $\mathbf{m}$ .
 $b \leftarrow \mathcal{A}()$  ;
return  $(b = \beta)$ .

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Game 2: Cryptographic game $\text{Integrity}_{\Pi_{\text{MN}}}^{\mathcal{A}}(\eta)$ of integrity for a mixnet protocol Π_{MN}

Configuration phase

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 $M \leftarrow \{\}$  ;
 $\mathcal{I}_S, \mathcal{I}_M \leftarrow \emptyset$  ;
 $(\sigma_{\text{priv}}^{(1)}, \dots, \sigma_{\text{priv}}^{(p)}, \sigma_{\text{pub}}) \leftarrow \text{Setup}(\eta)$  ;
 $(n, p, \mathcal{H}_S, \mathcal{H}_M) \leftarrow \mathcal{A}()$  ;
 $\mathfrak{P}_0 \leftarrow (\sigma_{\text{priv}}^{(j)})_{j \notin \mathcal{H}_M} \parallel \sigma_{\text{pub}} \parallel \text{nil}$  ;

```

Sending phase

The adversary $\mathcal{A}$ has access to oracles $\mathcal{O}\text{HonestSend}$ and $\mathcal{O}\text{DishonestSend}$ as follows.

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Do
   $\text{id} \leftarrow \mathcal{A}(\mathcal{I}_S)$  ; // Suppose that the adversary  $\mathcal{A}$  wants to run the sender  $S_{\text{id}}$  for  $\text{id} \in [1; n] \setminus \mathcal{I}_S$ , then
  If  $\text{id} \in \mathcal{H}_S$  then // Case where  $S_{\text{id}}$  is an honest sender.
     $\mathcal{A}$  computes a message  $m$  and calls the oracle  $\mathcal{O}\text{HonestSend}(\text{id}, m)$ .
  Otherwise, // Case where  $S_{\text{id}}$  is dishonest.
     $\mathcal{A}$  computes a message  $p$  and calls the oracle  $\mathcal{O}\text{DishonestSend}(\text{id}, p)$ .

```

While $\text{Card}(\mathcal{I}_S) < n$

At the end of the sending phase (i.e. when $\text{Card}(\mathcal{I}_S) = n$), the adversary $\mathcal{A}$ calls the oracle $\mathcal{O}\text{PublishBB}(0)$ and cannot call both oracles $\mathcal{O}\text{HonestSend}$ and $\mathcal{O}\text{DishonestSend}$ anymore.

Mixing phase

In a similar way as in the sending phase, the adversary $\mathcal{A}$ has access to oracles $\mathcal{O}\text{HonestMix}$ and $\mathcal{O}\text{DishonestMix}$ whether $\text{id} \in \mathcal{H}_M$ or not. All oracles inputs are computed by the adversary $\mathcal{A}$. Besides, after each call to mix oracles $\mathcal{O}\text{HonestMix}$ or $\mathcal{O}\text{DishonestMix}$ on identity id , $\mathcal{A}$ calls oracle $\mathcal{O}\text{PublishBB}(\text{id})$.

Conclusion

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The adversary  $\mathcal{A}$  calls, only once, the oracle  $\mathcal{O}\text{Open}()$  to obtain the decrypted messages list  $\mathbf{m}$ .
return  $(M \subseteq \mathbf{m})$ .

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2.2 A UC secure mixnet

Ideal functionality 2.1: Ideal mixnet $\mathcal{F}_{\text{MN}}^{n,p,\theta}$

Let $n, p \in \mathbb{N}$ be two natural numbers. Let $\theta \in]0, 1]$ be a threshold parameter. We define *the ideal functionality* $\mathcal{F}_{\text{MN}}^{n,p,\theta}$ for a (n, p) -mixnet with mix threshold θ , running with p mix-servers $(M_i)_{i=1}^p$, n senders $(S_i)_{i=1}^n$, and ideal adversary $\mathcal{S}$, to be the following protocol.

1. Initialize a list $L = \emptyset$, set $\mathcal{I}_{\mathcal{S}} = \emptyset$ and $\mathcal{I}_{\text{M}} = \emptyset$.
2. Upon receiving (S_i, Send, m_i) . If $i \notin \mathcal{I}_{\mathcal{S}}$, set $\mathcal{I}_{\mathcal{S}} \leftarrow \mathcal{I}_{\mathcal{S}} \cup \{i\}$, and append m_i to the list L . Then send (S_i, Send) to $\mathcal{S}$.
3. Upon receiving (M_j, Mix) . Set $\mathcal{I}_{\text{M}} \leftarrow \mathcal{I}_{\text{M}} \cup \{j\}$. If $\text{Card}(\mathcal{I}_{\text{M}}) \geq \theta p$, then sort the list L lexicographically to form a list L' , and hand (Mixed, L') to the ideal adversary $\mathcal{S}$ and to all mix-servers $(M_i)_{i=1}^p$. Otherwise, hand to $\mathcal{S}$ the list $((M_j, \text{Mix}))_{i \in \mathcal{I}_{\text{M}}}$.

2.3 Equivalence between game-based security and UC security

Theorem 1. *Let Π_{MN} be a mixnet protocol. Then, we have*

$$\Pi_{\text{MN}} \models \text{Integrity} \wedge \text{Privacy} \iff \Pi_{\text{MN}} \preceq_{\text{uc}} \mathcal{F}_{\text{MN}}^{n,p,\theta}$$